

# Function fields

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# Definition of a function field

Let  $p$  be a prime and  $q = p^r$ .

## Definition

A function field is a finitely generated field extension  $K/\mathbb{F}_q$  of transcendence degree 1.

There is a correspondence between function fields over  $\mathbb{F}_q$  and non-singular, projective, irreducible algebraic curves over  $\mathbb{F}_q$ .

The function field for  $C : F(x, y) = 0$  is  $\mathbb{F}_q(C) = \mathbb{F}_q(x)[y]/(F(x, y))$ .

## Examples

- $C_1 : y^2 = x^3 - 1$  over  $\mathbb{F}_5 \Rightarrow \mathbb{F}_5(C_1) = \mathbb{F}_5(x, \sqrt{x^3 - 1})$  or  $\mathbb{F}_5(y, \sqrt[3]{y^2 + 1})$
- $C_2 : \{y^2 = x^3 - 1, w^2 = 2\}$  over  $\mathbb{F}_5 \Rightarrow \mathbb{F}_5(C_2) = \mathbb{F}_{25}(x, \sqrt{x^3 - 1})$  or  $\mathbb{F}_{25}(y, \sqrt[3]{y^2 + 1})$ .
- $\mathbb{F}_5(C_2) = \mathbb{F}_{25}(C_1)$ .

Function fields and number fields share many properties; both are called global fields.

- $p$  a prime,  $q = p^r$
- $C$  a non-singular, projective, irreducible algebraic curve over  $\mathbb{F}_q$
- $K = \mathbb{F}_q(C)$

## Definition

A *closed point* on  $C$  is the  $\text{Gal}(\overline{\mathbb{F}}_q/\mathbb{F}_q)$ -orbit of a point  $P \in C(\overline{\mathbb{F}}_q)$ .

Let  $C : y^2 = x^3 - x$  be a curve over  $\mathbb{F}_7$ . Then  $(2, \sqrt{-1}) \in C(\mathbb{F}_{49})$  and the associated closed point is

$$\{(2, \sqrt{-1}), (2, -\sqrt{-1})\}.$$

- $X$  is the set of closed points on  $C$

# Ring of integers

We think of the integers (of  $\mathbb{Q}$ ) as having no *denominator*, i.e.

$$\mathbb{Z} = \bigcap_{p \text{ prime}} \{x \in \mathbb{Q} : |x|_p \leq 1\}.$$

For  $K = \mathbb{F}_q(C)$ , can we construct  $\mathcal{O}_K$  in the same way?

## Definition

Let  $P \in C(\mathbb{F}_{q^n})$ . The *absolute value of  $f \in K$  at  $P$*  is  $|f|_P = (q^n)^{-\text{ord}_P(f)}$ .

The absolute values on  $K$  correspond to closed points on  $C$ . As above,

$$\bigcap_{P \in X} \{f \in K : |f|_P \leq 1\} = \{f \in K : f \text{ has no poles}\} = \mathbb{F}_q.$$

## Definition

Let  $S \subset X$  be a finite set. The *ring of  $S$ -integers of  $K$*  is

$$\mathcal{O}_{K,S} = \{f \in K : f \text{ has no poles outside of } S\}.$$

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## Examples

Let  $C : y^2 = x^3 - x$  ( $p \neq 2$ ). Then

$$K = \mathbb{F}_q(x)[y]/(y^2 - x^3 + x) = \text{Frac}(\{a(x) + yb(x) : a, b \in \mathbb{F}_q[x]\}).$$

- $S = \{\infty\} \Rightarrow \mathcal{O}_{K,S} = \mathbb{F}_q[x, y]/(y^2 - x^3 + x)$ .
- $S = \{(0, 0)\}$ , let  $s = 1/x$ ,  $t = y/x^2 \Rightarrow C : t^2 = s - s^3$ ,  $\mathcal{O}_{K,S} = \mathbb{F}_q[s, t]/(t^2 - s + s^3)$
- $S = \{(-1, 0), (0, 0), (1, 0), \infty\} \Rightarrow \mathcal{O}_{K,S} = \mathbb{F}_q[x, y, 1/y]/(y^2 - x^3 + x)$ .

More generally, if  $C : F(x, y) = 0$  and  $S = \{\text{points at } \infty\}$  then  $\mathcal{O}_{K,S} = \mathbb{F}_q[x, y]/(F(x, y))$ .

The units of  $K$  are the invertible elements in the ring of integers.

## Definition

Let  $S \subset X$  be a finite set. The  $S$ -unit group of  $K$  is

$$\mathcal{O}_{K,S}^\times = \{f \in K : f \text{ has no poles or zeros outside of } S\}.$$

## Examples

- Let  $C = \mathbb{P}^1$  over  $\mathbb{F}_5$  and  $S = \{\infty, \{\pm\sqrt{2}\}\}$ . Then

$$\mathcal{O}_{K,S} = \mathbb{F}_5[x, 1/(x^2 - 2)], \quad \mathcal{O}_{K,S}^\times = \mathbb{F}_5^\times \oplus (x^2 - 2)^\mathbb{Z}.$$

- Let  $C : y^2 = x^3 - x$  ( $p \neq 2$ ) and  $S = \{\infty\}$ . Then

$$\mathcal{O}_{K,S} = \mathbb{F}_q[x, y]/(y^2 - x^3 + x), \quad \mathcal{O}_{K,S}^\times = \mathbb{F}_q^\times.$$

## Extended example

Let  $C : y^2 = x^3 - x$  ( $p \neq 2$ ),  $S = \{P_1 = (-1, 0), P_2 = (0, 0), P_3 = (1, 0), \infty\}$ . Let  $f \in \mathcal{O}_{K,S}^\times$ . Multiply by powers of  $x + 1$ ,  $x$  and  $x - 1$  (with double zeros at  $P_i$ ), to get  $g$  with

$$\text{ord}_{P_i}(g) = 0 \text{ or } 1, \quad \text{ord}_P(g) = 0 \text{ for } P \notin S.$$

We have  $(g) := \text{ord}_\infty(g)[\infty] + \sum_i \text{ord}_{P_i}(g)[P_i] = 0 \in \text{Jac } C$ . In terms of points of  $C$ ,

$$\text{ord}_{P_1}(g)[P_1] + \text{ord}_{P_2}(g)[P_2] + \text{ord}_{P_3}(g)[P_3] = \infty \Rightarrow \begin{cases} \text{ord}_{P_i} = 0 \text{ for all } i \Rightarrow g \in \mathbb{F}_q^\times \\ \text{ord}_{P_i} = 1 \text{ for all } i \Rightarrow g \in \mathbb{F}_q^\times y. \end{cases}$$

So  $f \in \mathbb{F}_q^\times \oplus (x + 1)^{\mathbb{Z}} \oplus (x)^{\mathbb{Z}} \oplus (x - 1)^{\mathbb{Z}} \oplus \{1, y\} \Rightarrow f \in \mathbb{F}_q^\times \oplus (x + 1)^{\mathbb{Z}} \oplus (x)^{\mathbb{Z}} \oplus y^{\mathbb{Z}}$ .

## Theorem (Dirichlet's unit theorem)

$$\mathcal{O}_{K,S}^\times \cong \mathbb{F}_q^\times \oplus \mathbb{Z}^{\#S-1}$$



# Prime ideals

Recall, for  $p \in \mathbb{Z}$  a prime,  $(p) = \{a \in \mathbb{Z} : |a|_p < 1\}$  is the prime ideal.

## Definition

Fix  $P \in X \setminus S$ . The *prime ideal of  $\mathcal{O}_{K,S}$  at  $P$*  is

$$\mathfrak{p}_{P,S} := \{f \in \mathcal{O}_{K,S} : |f|_P < 1\} = \{f \in K : f \text{ has a zero at } P \text{ and no poles outside of } S\}.$$

There's a correspondence between primes of  $\mathcal{O}_{K,S}$  and points in  $X \setminus S$ .

## Example

Let  $C : y^2 = x^3 - x$  over  $\mathbb{F}_7$ .

- $S = \{\infty\} \Rightarrow \mathcal{O}_{K,S} = \mathbb{F}_7[x, y]/(y^2 - x^3 + x)$  and

$$\mathfrak{p}_{(0,0),S} = (x, y), \quad \mathfrak{p}_{\{(2, \pm\sqrt{-1})\}, S} = (x - 2, y^2 + 1) = (x - 2, x^3 - x + 1).$$

- $S = \{(-1, 0), (0, 0), (1, 0), \infty\} \Rightarrow (x, y)$  is no longer prime, it is generated by units.

## Definition

Fix  $P \in X \setminus S$ . The *prime ideal of  $\mathcal{O}_{K,S}$  at  $P$*  is

$$\mathfrak{p}_{P,S} := \{f \in \mathcal{O}_{K,S} : |f|_P < 1\} = \{f \in K : f \text{ has a zero at } P \text{ and no poles outside of } S\}.$$

## Example

Let  $C : y^2 = x^3 - x$  over  $\mathbb{F}_7$ ,  $S = \{\infty\}$ . Then  $\mathfrak{p}_{\{(2, \pm\sqrt{-1})\}, S} = (x - 2, y^2 + 1)$  and

$$\mathcal{O}_{K,S}/\mathfrak{p}_{\{(2, \pm\sqrt{-1})\}, S} = \mathbb{F}_7[y]/(y^2 + 1) = \mathbb{F}_{49}.$$

The residue degree of a prime is the size of the Galois orbit of the corresponding point.

## The Chinese Remainder Theorem

Let  $P, Q \in X \setminus S$  be distinct. Given  $s, t \in \overline{\mathbb{F}}_q$  defined over the residue fields of  $P$  and  $Q$  respectively, there's some  $f \in \mathcal{O}_{K,S}$  such that  $f(P) = s$  and  $f(Q) = t$ .

# The Class Group

The class group indicates how far we are from having unique factorisation.

Fractional ideals look like

$$\prod_{P \in X \setminus S} \mathfrak{p}_{P,S}^{n_P} \longleftrightarrow \sum_{P \in X \setminus S} n_P [P]$$

where  $n_P \in \mathbb{Z}$ , almost all are zero. Write  $\text{Div}_{K,S}$  for the group of these.

Principal ideals here correspond to divisors of the type

$$\sum_{P \in X \setminus S} \text{ord}_P(f) [P],$$

for  $f \in \mathcal{O}_{K,S}$ . Write  $\text{Princ}_{K,S}$  for the group generated by these.

## Definition

Let  $S \subset X$  be a finite set. The  $S$ -class group of  $K$  is

$$\text{Cl}_{K,S} = \text{Div}_{K,S} / \text{Princ}_{K,S}$$

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## Examples

- Let  $C = \mathbb{P}^1$  over  $\mathbb{F}_q$ ,  $S = \{\infty\}$ . Fix  $D = \sum_{\infty \neq P \in X} n_P [P]$ . Let  $f \in \mathcal{O}_{K,S}$  have a zero of order  $n_P$  at  $P$  when  $n_P > 0$ ; and  $g \in \mathcal{O}_{K,S}$  have a zero of order  $-n_P$  at  $P$  when  $n_P < 0$ . Suppose  $f, g$  have no other zeros  $\Rightarrow D \sim \sum_{\infty \neq P \in X} (\text{ord}_P(f) - \text{ord}_P(g)) [P] \Rightarrow \text{Cl}_{K,S} = 1$ .
- Let  $C : y^2 = x^3 - x$  over  $\mathbb{F}_q$ ,  $S = \{\infty\}$ . Consider  $D = \sum_{\infty \neq P \in X} n_P [P]$ , or  $\sum_{\infty \neq P \in X} n_P [P] - (\sum_{\infty \neq P \in X} n_P) [\infty]$ . Equivalence classes of degree 0 divisors correspond to points in  $C(\mathbb{F}_q) \Rightarrow \text{Cl}_{K,S} = C(\mathbb{F}_q)$ . If  $q = 7$  then  $\text{Cl}_{K,S} = \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/4\mathbb{Z}$ .

More generally, if  $S = \{\infty\} \sqcup T$  then

$$\text{Cl}_{K,S} = \text{Jac}_C(\mathbb{F}_q) / \langle [P] - \#P[\infty] \mid P \in T \rangle.$$

# Factorising primes

Let  $K = \mathbb{F}_q(x, y) = \mathbb{F}_q(C)$  be a finite, **separable** extension of  $\mathbb{F}_q(x)$ , where

- for a non-constant morphism  $\phi : C \rightarrow \mathbb{P}^1$  we let  $S = \phi^{-1}(\infty)$ , and
- $y \in \mathcal{O}_{K,S}$  has minimum polynomial  $g(t) \in \mathbb{F}_q[x][t]$

If  $C : F(x, y) = 0$  then  $y \in \mathbb{F}_q[x, y]/(F)$ .

Take  $\mathfrak{p}$  to be a prime of  $\mathbb{F}_q[x]$ .

## Theorem (Dedekind's theorem)

Let  $\bar{g}(t) = \bar{g}_1(t)^{e_1} \times \cdots \times \bar{g}_r(t)^{e_r}$  be the factorisation of  $\bar{g}(t) := g(t) \bmod \mathfrak{p}$  into irreducibles, with  $\bar{g}_i(t) := g_i(t) \bmod \mathfrak{p}$  for monic  $g_i(t) \in \mathbb{F}_q[x][t]$ , then

$$\mathfrak{p} = \mathfrak{p}_1^{e_1} \times \cdots \times \mathfrak{p}_r^{e_r}$$

where  $\mathfrak{p}_i = (\mathfrak{p}, g_i(y))$ . Moreover, the residue degree of  $\mathfrak{p}_i$  is  $f_i = \deg \bar{g}_i(t)$ .

## Example

Let  $C : y^2 = x^{q+1} - 1$  over  $\mathbb{F}_q$  ( $p \neq 2$ ). We can deduce how a prime  $\mathfrak{p} = (x - a)$  of  $\mathbb{F}_q[x]$  splits in  $\mathbb{F}_q[C]$ . Suppose  $a \in \mathbb{F}_q$ .

The minimum polynomial of  $y$  is  $g(t) = t^2 - (x^{q+1} - 1)$ . Reducing modulo  $\mathfrak{p}$  gives

$$\bar{g}(t) = t^2 - (a^{q+1} - 1) = \begin{cases} t^2 & a^{q+1} \equiv a^2 \equiv 1 \pmod{q} \\ t^2 - r, r \in \mathbb{F}_q^\times & a^{q+1} \equiv a^2 \not\equiv 1 \pmod{q} \end{cases}$$

- $a^2 \equiv 1 \Rightarrow \mathfrak{p} = (x - a, y)^2$  and  $(x - a, y)$  has residue degree 1 (cf.  $\{(a, 0)\} \in X$ ).
- $a^2 \not\equiv 1$  and  $r = \square \Rightarrow \mathfrak{p} = (x - a, y - \sqrt{r})(x - a, y + \sqrt{r})$  and  $(x - a, y \pm \sqrt{r})$  have residue degree 1 (cf.  $\{(a, \sqrt{r})\}, \{(a, -\sqrt{r})\} \in X$ ).
- $a^2 \not\equiv 1$  and  $r \neq \square \Rightarrow \mathfrak{p} = (x - a, y^2 - r)$  and  $(x - a, y^2 - r)$  has residue degree 2 (cf.  $\{(a, \sqrt{r}), (a, -\sqrt{r})\} \in X$ ).

*Thank you for your attention!*